



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Frank **SCHERRER**

Application No.: **10/726,874**

Filed: **December 3, 2003**

For: **TURBOCHARGER AND METHOD FOR ITS MANUFACTURE**

Attorney Docket No.: **DKT02077**

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 and §1.98**

Mail Stop:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the documents set forth below and listed on the attached Form PTO-1449, and a copy of the European Search Report in which these references were cited, are provided herewith.

1. Great Britain Patent Application No. 2 126 663 A
2. U.S. Patent Application No. 4,735,556 A
3. German Patent Application No. 12 59 142 B
4. U.S. Patent Application No. 5,154,578 A
5. Great Britain Patent 1,027,843

Documents 1, 2, 4 and 5 are in the English language. The relevancy of the non-English language document is discussed below.

Document 3

In the European Search Report col. 2, line 25 - col. 4, line 29, as well as figures 4 and 5, of Document 3 were cited as being of "Y" category relevance (relevant if considered together with another document of the same category).

Document 3 concerns an axial flow gas turbine inner casing 11, which may be a stator blade carrier, located in an annular groove in the outer casing 13 by an annular flange 12 having spaced radial slits 19, Fig. 1 (not shown), to reduce thermal distortion. Each slit 19 is sealed by a flexible steel U or V-shaped member 20, Fig. 4 (not shown), extending substantially radially within the slit and welded at its edges 21, 22 to the flange 12. In a modification, Fig. 5 (not shown), seal member 20 is replaced by a resilient split tube 29.

Document 5 is an English language document in the patent family of Document 3.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

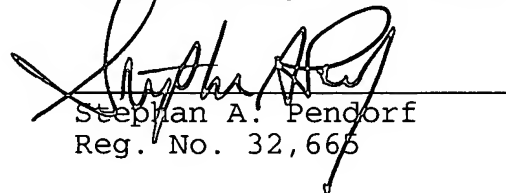
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

U.S. PATENT APPLICATION
SERIAL NO.: 10/726,874
INFORMATION DISCLOSURE STATEMENT

ATTY DOCK: DKT02077

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,


Stephan A. Pendorf
Reg. No. 32,665

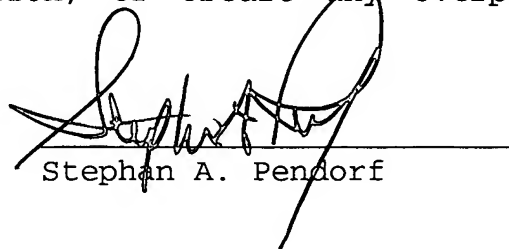
Pendorf & Cutliff
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Dated: **March 9, 2004**

CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT Form PTO-1449, including five (5) documents, for U.S. Application No. 10/726,874 filed December 3, 2003, were deposited in first class U.S. mail, postage prepaid, P.O. Box 1450, Alexandria, VA 22313-1450, **March 9, 2004**.

The Commissioner is hereby authorized to charge any additional fees, which may be required at any time during the prosecution of this application, except for the issue fee, without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.


Stephan A. Pendorf

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

DKT02077

SERIAL NO.

10/726,874

Frank SCHERRER

FILING

12/03/03

GROUP

MAR 15 2004

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	7,735,556A	04/05/88	Fujikake et al.	F04B	17/00	
	5,154,678A	10/13/92	Miracourt et al.	F01D	5/14	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2 126 663 A	03/28/84	Great Britain	F04D	29/42	✓	
	12 59 142 B	01/18/62	Germany	46f	9		✓
	1 027 843	04/27/66	Great Britain	F01f		✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.